E*TRADE AUSTRALIA

BROKER TO BROKER TRANSFER FORM

WHEN TO USE THIS FORM

If you have existing Broker Sponsored securities and you want to move some or all of those securities under ETRADE Australia Securities Limited's (E*TRADE Australia's) sponsorship. If you wish to transfer securities from more than one broker, either photocopy this form or download another from the E*TRADE website. To assist us with your transfer, we require a copy of your latest CHESS Holding Statement/s. Please ensure you have read the CHESS Sponsorship Agreement included in the Trading Terms and Conditions.

Please send this form to **E*TRADE Australia, Reply Paid 1346 Royal Exchange NSW 1224**, fax to **1300 553 589** or email **requests@etrade.com.au.**

E*TRADE Account Number: Your E*TRADE Account Number is a unique 7 digit number.

E*TRADE Account Name: (Please include Account Designation Name if applicable)

Existing Sponsoring Broker:

Existing Sponsoring HIN: X

A Holder Identification Number (HIN) is a unique 10 digit number starting with "X" visible on your CHESS Holding Statement.

Holdings to be transferred (please select one):

- ☐ Transfer your existing HIN and all CHESS Holdings to E*TRADE Australia
  In the event there is an existing HIN allocated to your E*TRADE account and there is nil holdings, we will cancel your E*TRADE HIN and proceed with your request. You cannot transfer multiple HINs to E*TRADE.
- ☐ Transfer all CHESS Holdings (but not your HIN) to E*TRADE Australia*
  If you do not currently have a HIN under E*TRADE Australia's sponsorship, one will be created for you as part of this request.
- ☐ Transfer selected CHESS Holdings (as listed below) to E*TRADE Australia*
  If you do not currently have a HIN under E*TRADE Australia's sponsorship, one will be created for you as part of this request.

ASX Code	Number of Securities	ASX Code	Number of Securities

Transfers worth less than $600 can only be processed if you have enough units of the same security already under our sponsorship to bring the total value held to at least $600. This is to comply with the ASX Settlement Operating Rule on 'Marketable Parcels'.

I/We declare that I/We have read and agree to be bound by the CHESS Sponsorship Agreement included in the Trading Terms and Conditions. I/We authorise and direct my/our sponsoring broker to transfer my/our shareholdings to E*TRADE Australia in accordance with the ASX Settlement Operating Rules.

Applicant Holder 1

Signature Title (if company)

Name Date

Applicant Holder 2 (if applicable)

Signature Title (if company)

Name Date

Applicant Holder 3 (if applicable)

Signature Title (if company)

Name Date

* NOTE: When transferring CHESS holdings to another HIN, your standing instructions with the share registries will not transfer across. Therefore it may be necessary to re-lodge your standing instructions which may include your tax file number, banking details or dividend direction details.

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ETRADE Australia Securities Limited ("E*TRADE Australia"), an ASX and Chi-X Australia market participant, an ASX Clear participant and an ASX Settlement participant.
ABN 93 078 174 973; AFSL No. 238277 242 Pitt Street, Sydney NSW 2000 Ph: 1300 658 355 Fax: 1300 553 589

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