E✱TRADE AUSTRALIA

CHESS SPONSORSHIP TRANSFER

ACCOUNT DETAILS

E✱TRADE Account Number: ☐☐☐☐☐☐☐

E✱TRADE Account Name^: _____

^Please include Account Designation name if applicable

WHEN TO USE THIS FORM

If you have existing Broker or Issuer (company) Sponsored securities and you want to move some or all of your securities under E✱TRADE Australia's sponsorship, please complete this form. Remember to attach a copy of all your latest Issuer or Broker Sponsored statement/s. If you wish to transfer securities from more than one broker, either photocopy this form or download another from the E✱TRADE website.

SECTION A FOR BROKER SPONSORED SECURITIES:

Name of Existing Sponsoring Broker: _____

HIN of existing Sponsoring Broker: ☐☐☐☐☐☐☐☐☐☐☐

Holdings to be Transferred: Please ✓ one.

If "HIN and All Holdings" is selected, your HIN will be transferred from your existing broker. If you transfer selected or partial holdings, we will issue you a new HIN. The company whose securities you are transferring will treat you as a new holder. Unless you advise us otherwise, we will register your CHESS holdings with the address you specify on your Application Form.

☐ **HIN and All Holdings** ☐ **Selected Holdings** (Please complete Section B)

SECTION B FOR ISSUER SPONSORED SECURITIES OR PARTIAL BROKER TRANSFER:

Please attach a copy of your latest Issuer or Broker Sponsored statement/s. If you want to transfer additional securities please attach the list of securities to this form and sign the attachment.

Securities to be transferred:	Number of Securities	Securities to be transferred:	Number of Securities

AUTHORITY

I/We authorise and direct my/our shareholdings in accordance with the ASTC Settlement Rules to be transferred to E✱TRADE Australia Securities Limited (E✱TRADE Australia)

Applicant Holder 1

Signature of Applicant: _____ Date: _____

Name of Applicant: _____

☐ Individual/Joint Owner 1 ☐ Sole Director/Trustee ☐ Director 1/Trustee 1 ☐ Office Bearer 1

Applicant Holder 2
(If applicable)

Signature of Applicant: _____ Date: _____

Name of Applicant: _____

☐ Joint Owner 2 ☐ Director 2/Trustee 2 ☐ Office Bearer 2

Company Seal

Please mail or fax signed and completed form to:

E✱TRADE Australia
Reply Paid 1346
Royal Exchange Square NSW 1224

Fax: 1300 553 589

Upon receipt of the documentation, E✱TRADE will assess the suitability of your requested changes and advise you via email of the outcome.